

# **Hamden Police Department**

## **General Orders**

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**Policy Number:** 001-006

**Rescinds:** December 9<sup>th</sup> 2020

**Section:** Role and Authority

**Approved by:** Police Commission

**Title:** Use of Force

**Approval Date:** November 22, 2021

**Effective Date:** January 14, 2022

### **1. Purpose**

To establish Hamden Police Department (“herein also known as the department”) guidelines and limitations concerning the appropriate and acceptable use of deadly and non-deadly force.

The Hamden Police Department recognizes and values the sanctity of human life and respect for every person’s rights and dignity. As guardians of a lawful and ordered society, police officers are vested with significant authority, the judicious use of which serves to protect public safety while fortifying public confidence in the legitimacy of the police. A peaceful resolution is the best, most desired outcome in all situations. To that end, police officers must use only the level of force necessary to achieve legitimate, lawful purposes and resolve each situation they face fairly and safely. Acknowledging that circumstances may compel the use of physical force upon a person, up to and including deadly force, police officers must view the use of force as a last resort.

### **2. Policy**

It is the policy of the Hamden Police Department to provide clear procedures to sworn officers regarding the use of force in the performance of their duties. The safety of innocent persons and officers is of paramount importance.

Police officers must use only the minimum level of force necessary to achieve a lawful purpose. Any use of force must be reasonable, proportionate to the threat, and employed in a manner consistent with this policy. While not an actual use of force, the mere presence of a police officer can be intimidating to some. Therefore, officers should be mindful of their body language and tone of voice upon arrival at a scene and throughout their interaction with subjects, complainants, and witnesses.

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### **3. Definitions**

- A.** “Acting in a Law Enforcement Capacity” means any on-duty police officer or any off-duty police officer who identifies themselves as such and asserts their law enforcement authority.
- B.** “Active Resistance” means any physical act undertaken by a subject against an officer that could reasonably impede or defeat the officer’s lawful attempt to gain control of the subject.
- C.** “Chokehold/Neck Restraint” means a physical maneuver or other method of restraint applied to the neck area or that otherwise impedes the ability to breathe or restricts blood circulation to the brain.
- D.** “Deadly Force” means any force that is likely to cause serious injury or death.
- E.** “Deadly weapon” means any weapon, whether loaded or unloaded, from which a shot may be discharged, or a switchblade knife, gravity knife, billy, blackjack, bludgeon, or metal knuckles.
- F.** “De-escalation” means the use of strategies and/or techniques to reduce the intensity of or stabilize a conflict or potentially volatile situation.
- G.** “Imminent” means likely to occur at any moment; impending.
- H.** “Last resort” means a final course of action, used only when other reasonable options are unavailable or have failed.
- I.** “Less-Lethal Force” means any force that is not likely to cause serious physical injury or death. Less lethal force includes weaponless defensive and control techniques (such as open hand strikes, elbow or closed fist strikes, leg sweeps, kicks, and forcible restraint), weapons and munitions (such as OC spray or chemical agents such as tear gas, CEW, projectiles like rubber bullets and bean-bag rounds, batons and other impact weapons, and flash bang devices), and K9.
- J.** “Mitigation” means the action of reducing the danger, severity, seriousness, or potential harmfulness of a condition or circumstance.

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- K.** “Necessary” means an action chosen when, in an officer’s judgement, no effective alternative exists.
- L.** “The objectively reasonable standard” is the legal standard used to determine the lawfulness of a use of force under the Fourth Amendment to the U.S. Constitution. The U.S. Supreme Court established this standard in its ruling in *Graham v. Connor* (490- U.S. 386, 1989).
- M.** “Officer created jeopardy” means situations where officers needlessly put themselves in a position where they must use deadly force to protect themselves.
- N.** “Passive Resistance” means an unarmed, non-violent person’s failure or refusal to cooperate with a police officer’s lawful directions, such as in an act of civil disobedience or by a non-violent handcuffed person. Passive resistance generally involves lack of voluntary movement by the resister.
- O.** “Police officer,” as used in this policy, means any Connecticut “peace officer,” as defined in CGS 53a-3.
- P.** “Physical Force” means any intentional contact used upon or directed toward the body of another person, including restraint and confinement.
- Q.** “Positional Asphyxia” is a condition where the supply of oxygen to a person’s body is deficient because their body position prevents them from breathing adequately, usually as a result of an airway obstruction or limitation in chest wall expansion.
- R.** “Proportional Force” means force that is reasonably necessary to overcome the level of resistance, aggression, or threat an officer confronts.
- S.** “Reasonable” means sound, fair, sensible, and not excessive under the circumstances.
- T.** “Reasonable belief that a person has committed an offense” means a reasonable belief in facts or circumstances which if true would in law constitute an offense. If the facts or circumstances would not legally constitute an offense, an incorrect belief that they do, even if reasonable, does not justify the use of physical force.

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- U.** “Serious Physical Injury” means physical injury that creates a substantial risk of death, serious protracted and obvious disfigurement, a serious health impairment, or an extended loss or impairment of any body part or bodily organ.
- V.** “Unreasonable Force” means any force applied in a manner inconsistent with this policy or applicable law.
- W.** “Unreasonable Risk” means unwarranted exposure to the possibility of a negative consequence.

#### **4. Moral and Ethical Obligations Regarding the Use of Force**

All Hamden police officers must comply with this policy and uphold the legal, moral, and ethical obligations of their sworn service to the public, including:

##### **A. Duty to Render Aid**

An officer shall render aid and request an emergency medical service (EMS) response as soon as possible for any person who sustains an injury, complains of injury, or otherwise exhibits signs of medical distress including shortness of breath, altered mental status, or loss of consciousness.

An EMS response shall be requested for any person subjected to the use of a firearm, impact weapon, impact projectile, conducted energy weapon (CEW), oleoresin capicum (OC) spray, or K-9 apprehension. A supervisor shall be immediately notified of any EMS response initiated under these conditions, and injuries shall be documented and photographed whenever possible.

Officers shall be trained in proper treatment procedures for persons exposed to chemical sprays and the effects of other less-than-lethal force. If the person is offered and/or refuses treatment, this refusal shall be recorded in the police report, along with all relevant information.

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### **B. Duty to Intervene**

Any police officer acting in a law enforcement capacity who witnesses the use of force by any other officer, regardless of rank or department, that the witnessing officer knows to be unreasonable, must intervene to attempt to stop such use of force. The witnessing officer shall intervene in any manner necessary to stop any unreasonable, excessive or illegal use of force, including by verbal or physical means or both. Unreasonable force is any force applied in a manner inconsistent with this policy or applicable law.

These requirements do not apply to officers acting in an undercover capacity if intervening will significantly compromise their safety or the safety of another.

In rare cases, exigent circumstances may prevent an officer from complying with these requirements. For example:

- (1) An officer may be engaged in a simultaneous attempt to apprehend another person.
- (2) An officer may be actively engaged in rendering aid to a seriously injured person.
- (3) An officer may be separated by space, elevation, physical barriers, terrain, or other hazards or impediments that prevent access necessary to intervene.

If circumstances prevent or impede effective intervention, these circumstances shall be promptly reported and documented.

Any officer who fails to intervene in an incident involving unreasonable use of force that they witness may be subject to disciplinary action and criminal prosecution for the actions the offending officer took.

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### **C. Duty to Report**

Any police officer acting in a law enforcement capacity who witnesses or otherwise becomes aware of the use of force by any other officer, regardless of rank or department, that the witnessing officer knows to be unreasonable shall notify a supervisor as soon as practicable. The witnessing officer shall also prepare a written report that thoroughly explains how force was used and submit that report as prescribed by Department procedures.

Any officer who fails to report any unreasonable use of force as required by this policy may be subject to disciplinary action and criminal prosecution.

### **D. Prohibition Against Retaliation**

The Department and its employees are strictly prohibited from taking any retaliatory, discriminatory, or punitive action against any officer who acts in good faith in accordance with this policy or cooperates in any internal or criminal investigation related thereto.

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### **5. De-escalation and Mitigation**

Officers should use force as a last resort and employ de-escalation and mitigation techniques to the greatest extent practicable. Officers are not required to delay taking protective measures that are immediately necessary or to place themselves or others at imminent risk of harm in order to attempt de-escalation, but they should consider the following options that might minimize or avoid the use of force:

- A.** Using a non-threatening, non-confrontational tone of voice
- B.** Listening carefully and expressing empathy
- C.** Slowing down the pace of an incident
- D.** Waiting to take action until the threat subsides
- E.** Placing additional space or barriers between the officer and a person
- F.** Permitting a person to move about
- G.** Permitting a person to ask questions or engage in conversation
- H.** Tactical repositioning or seeking cover
- I.** Requesting additional resources

De-escalation is most effective when done purposefully, with patience and flexibility. These techniques should only be employed when circumstances permit, and it is safe to do so.

When tactically feasible, an officer will identify him/herself as a police officer and issue verbal commands and warnings prior to the use of force. When feasible, an officer will allow the subject an opportunity to comply with the officer's verbal commands. A verbal warning is not required in circumstances where the officer has to make a split second decision, or if the officer reasonably believes that issuing the warning would place the safety of the officer or others in jeopardy.

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### **6. Use of Physical Force**

The use of force by an officer shall be necessary, reasonable and proportionate to the threat encountered. Physical force may only be used to achieve a lawful purpose. Before resorting to physical force and whenever safe and feasible, officers should first make reasonable attempts to gain compliance through verbal commands and allowing appropriate time under the circumstances for voluntary compliance.

#### **A. Considerations**

Before deciding to use physical force, an officer should consider the following:

- (1) The immediacy of the threat
- (2) The nature and severity of the crime or circumstances
- (3) The nature and duration of actions taken by the subject
- (4) Whether the subject is actively resisting custody
- (5) Whether the subject is attempting to evade arrest by flight
- (6) The number of subjects in comparison to the number of officers
- (7) The size and condition of the subject in comparison to the officer
- (8) The age, health, and condition of the subject
- (9) The subject's violent history, if known
- (10) The presence of a hostile crowd or agitators
- (11) Whether the subject is under the influence of drugs or alcohol to the extent it would affect their tolerance towards pain

#### **B. Permissible Purposes for the Use of Physical Force**

When necessary and reasonable, an officer may use proportionate physical force to:

- (1) Gain control of a subject who poses an imminent risk to the officer, themselves, or a third person.
- (2) Effect an arrest of a person whom the officer reasonably believes to have committed an offense unless the officer knows the arrest is not authorized.
- (3) Effect an arrest pursuant to a warrant unless the officer knows the arrest warrant is invalid.
- (4) Prevent the escape from custody of a person unless the officer knows the custody is not authorized.
- (5) Gain compliance to a lawful order.



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### **C. Prohibitions on the Use of Physical Force**

The following actions are prohibited:

- (1) Using physical force against any handcuffed or restrained person except to the extent necessary to counter active resistance, prevent escape, prevent the person from sustaining injury, or prevent the person from injuring another.
- (2) Using physical force for the purpose of retaliation.
- (3) Using physical force against a person whose health, age, physical condition, or circumstances make it likely that serious physical injury will result.
- (4) Standing on or kneeling on the neck of another person.

### **D. Restrictions on the Use of Physical Force**

The following are prohibited except under circumstances where deadly force is deemed reasonable and necessary, consistent with this policy:

- (1) *The intentional use of a chokehold or neck restraint. Including but not limited to: (1). Arm bar hold, (2). Carotid artery hold, (3). Lateral vascular neck restraint, (4). Neck restraint or hold with a knee or other object is prohibited. The use of a choke hold or neck restraint may only be used when the use of deadly physical force is necessary.*
- (2) Intentional strikes to the head, neck, spine, or sternum with an impact weapon, improvised impact weapon, knee, kick, or hard object, or striking the head against a hard surface.
- (3) The intentional discharge of a less-lethal launcher projectile at close range to the head, neck, or chest.

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### **7. Positioning of Persons Under Officer's Control**

Restrained persons shall be positioned so that breathing is not obstructed. Restrained persons should be maintained in a seated position or placed on their side. Restrained persons should not be placed in a prone or other position that increases the risk of positional asphyxia.

### **8. Less Lethal Force**

An officer may use less lethal force when reasonable and necessary to overcome the use or imminent use of force against an officer or a third person. The level of less lethal force used must be proportionate to the threat, perceived or existing. Less lethal force may not be used against any person engaged in passive resistance.

Officers shall only use weapons and control techniques that are issued and/or approved for use by the Department. The use of non-deadly force shall be limited to defensive and control purposes. Officers shall use only the reasonable amount of force necessary to overcome resistance or accomplish the police task. The use of non-deadly force shall conform to applicable Department Standards of Conduct, policies, procedures, and training. Officers shall not carry any less lethal weapons, or employ any non-deadly techniques, prior to successfully completing the relevant Department approved training for each weapon or technique.

Authorized impact weapons may be used only when an officer is confronted with occurring or imminent active aggression against him/herself or another person. The use of a baton or similar instrument to strike a blow to a subject's arms or legs will be considered use of non-deadly force.

Authorized OC spray is an alternative to physical control techniques and the use of other intermediate weapons. As with any other use of force, however, OC spray must not be used indiscriminately or without just cause. Officers must be able to articulate the reason(s) the subject was sprayed with OC spray. OC spray shall be utilized as issued and authorized to prevent injury to the subject(s), officers and others.

An Electronic Control Weapon (i.e., TASER®) is authorized for use when other less lethal options have been ineffective, or when it reasonably appears that such options will be ineffective in subduing the subject. Electronic Control Weapons shall only be used in situations where the subject is actively resisting or attempting to avoid arrest by escape and poses an imminent threat to the safety of him/herself, another person, or the officer.

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### **9. Use of Deadly Physical Force**

Deadly force must be used as a last resort. Any use of deadly force must be reasonable and necessary. When feasible and consistent with personal safety, an officer shall give warning of his or her intent to use deadly physical force.

#### **A. Permissible Purposes for Deadly Force**

A police officer is justified in using deadly force upon another person only when his or her actions are objectively reasonable under the given circumstances at that time and the officer reasonably believes the use of deadly force is necessary to:

- (1) Defend himself or herself or another person from the use or imminent use of deadly physical force, or
- (2) Effect an arrest of a person if the following circumstances exist:
  - a. The officer reasonably believes the person has committed or attempted to commit a felony that involved the infliction of serious physical injury and
  - b. The officer has determined there are no available reasonable alternatives to the use of deadly force and
  - c. The officer believes that the use of deadly force creates no unreasonable risk of injury to any other person.
- (3) Prevent the escape of a person if the following circumstances exist:
  - a. The officer reasonably believes the person has committed a felony that involved the infliction of serious physical injury and poses a significant threat of death or serious physical injury to others.
  - b. The officer has determined there are no available reasonable alternatives to the use of deadly force.
  - c. The officer believes that the use of deadly force creates no unreasonable risk of injury to any other person.

#### **B. Prohibitions on the Use of Deadly Force**

- (1) Deadly force may not be used against any person for the purpose of protecting property.
- (2) Deadly force may not be used against any person who poses a threat only to themselves.

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### **C. Use of Firearms**

The discharge of a firearm by an officer in any setting other than a training or testing exercise, or to dispatch an animal, shall be considered a use of deadly force. The discharge of a firearm against another person should be considered a last resort.

The discharge of a firearm is prohibited:

- (1) When, in the professional judgment of the officer, doing so will unnecessarily endanger an innocent person.
- (2) In the defense of property.
- (3) To summon assistance, except in an emergency and no other reasonable means is available.
- (4) When fired as a warning shot.
- (5) When fired at or into a moving or fleeing vehicle, except:
  - a. To counter an imminent threat of death or serious physical injury from an occupant by means other than the vehicle.
  - b. When a driver is intentionally placing others in the vehicle's path causing an imminent risk of serious injury, such as driving into a crowd of assembled persons or into an occupied area not intended for vehicular traffic.
  - c. When an officer is unavoidably in the path of a vehicle and cannot move to safety. Officers are strongly discouraged from positioning themselves in the actual or potential path of travel of any vehicle.

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### **D. After Event Review of Deadly Physical Force Incidents**

An after event review regarding any use of force shall determine whether any involved officer acted in a manner consistent with or inconsistent with this policy.

Officers must be aware that they are subject to the standards set forth by State Law. In accordance with State Law, evaluations of an officer's actions related to a use of deadly force will consider, *but are not limited to*, the following factors:

- (1) Whether the person possessed or appeared to possess a deadly weapon
- (2) Whether the officer engaged in reasonable de-escalation measures prior to using deadly force
- (3) Whether any unreasonable conduct of the officer led to an increased risk of an occurrence of the situation that precipitated the use of deadly force.

Officers should be aware that the statutory language "but are not limited to" may mean that factors not specified in law or in this policy are considered in the evaluation of an officer's actions.

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### **10. Reporting Uses of Force**

#### **A. Required Reporting and Review**

A reportable use of force is any use of force described in this policy, including:

- (1) Striking another person with an open or closed hand, elbow, knee, club or baton, kicking another person
- (2) Using OC spray, CEW, or less lethal projectile
- (3) Using a chokehold or neck restraint
- (4) Pointing a firearm, less lethal launcher, or CEW laser sight at a person
- (5) The discharge of a firearm, for other than training, testing, or to dispatch an animal
- (6) Any action that results in, or is alleged to have resulted in, injury or death of another person

The State of Connecticut Police Officer Standards and Training Council *Use of Force* form shall be completed for any incident that involves a reportable use of force. A separate form shall be completed for each person subjected to a reportable use of force. Except as provided below, the officers involved shall complete the form as soon as is practical. The required supervisory review of any use of force shall be completed in a timely manner.

The Office of the Chief State's Attorney Inspector General shall investigate any incident in which an officer uses deadly force or in which a death occurs as a result of any use of force, and shall direct the completion of reports as deemed necessary.

The department shall document and maintain a record of any incident in which an officer reports or is aware of an unreasonable, excessive, or *illegal* use of force whenever they use force against a suspect above un-resisted handcuffing as specified in this policy. This record shall include, at minimum, the name of the officer(s) involved; the date, time, and location of the incident; a description of the circumstances; and the names of any victims and witnesses present, if known. This requirement shall not apply when an officer simply draws or transports any weapon while on scene.

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The reporting officer shall also complete a written report detailing such use of force. Any officer failing to report such use of unreasonable force as required by this policy may be subjected to disciplinary action and criminal prosecution.

### Employee's Responsibilities

- a. When an employee finds it necessary to use force to affect an arrest, or for any other law enforcement purpose, other than exemptions specified in this policy, whether on duty or off-duty, that officer shall:
  - (1) Notify a Supervisor of the particulars as soon as circumstances allow in those cases which require a *Use of Force Form*.
  - (2) Complete a State of Connecticut Police Officer Standards and Training Council *Use of Force* form, which shall include all other employees involved in or witnessing the incident.
- b. *Use of Force Forms* must be completed and forwarded to the employee's Supervisor, or designee, by the end of the shift. However, when special circumstances exist, such as those incidents resulting in the death or serious injury of a person, a Taser / CEW deployment or an incident that requires additional investigation or minimal time to complete the form as determined by the Supervisor, an extension may be granted on a case-by-case basis. The *Use of Force Form* shall be completed and submitted as soon as possible thereafter.
- c. In the event of a Taser / CEW deployment, the form will be completed and submitted after the department's authorized Taser liaison retrieves the necessary information required to complete the form and the information is subsequently entered onto the form, unless ordered by the Chief or his designee. A printout detailing the retrieved information shall accompany the *Use of Force Form* to the next highest level of command on submission. The Taser liaison may complete or assist with the completion of the Taser / CEW portion of the *Use of Force Form*. The Taser / CEW liaison that retrieved the data shall subsequently complete an incident report of their actions.

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### Supervisor's Responsibilities

- a. When a Patrol Division reportable use of force incident occurs, a Shift Commander has the primary responsibility to make certain that all necessary Use of Force Forms are properly completed, reviewed for accuracy, and submitted as required by officers under their command. The Shift Commander will review the officers' use of force, complete the supervisory section, sign the report as applicable and forward it to their next higher level of command. Patrol Supervisors involved in a use of force incident will complete the form and forward the *Use of Force Form* to their next higher level of command not involved in the incident who will then complete and sign the Supervisory portion of the form.
- b. If the use of force involves an employee who does not report to a Patrol Supervisor, the employee's Unit Supervisor shall review, complete and sign the Supervisory portion of the report. Supervisors involved in a use of force incident will forward the *Use of Force Form* to their next higher level of command not involved in the incident.
- c. When an on-duty or off-duty employee has been involved in a use of force incident, which has resulted in death or serious injury to any person, the Supervisor will immediately report the incident to the Chief of Police, via the chain of command. This also includes those cases where any firearm is accidentally or purposefully discharged which results in injury or death to any person.
- d. If body worn camera or in car camera footage is available, the Shift Commander or Unit Supervisor will review the footage necessary to aid them in determining compliance with policy and procedure and indicate such on the *Use of Force Form*.



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### Office of the Chief

- a. The Deputy Chief will review the case incident reports, the *Use of Force Forms* and body worn camera video if applicable to determine:
  - (1) If they concur with the Supervisor's findings regarding policy and procedure.
  - (2) Whether the action warrants further administrative review and / or investigation.
  - (3) Recommendations on equipment upgrades, training, and / or policy issues, if applicable.
- b. The Deputy Chief may confer with Department instructors and trainers who specialize in the field of force used as needed. The Chief of Police will be informed about any incident that may not be consistent with policy and procedure or indicates the action warrants further administrative review and / or investigation.
- c. The Deputy Chief will then either:
  - 1. Return the form to the appropriate unit for further review / investigation / correction;
  - 2. Or if none is needed, forward the original Use of Force form to the Training Division who will perform the necessary reporting to the State of CT, make a copy for the Training Division files and forward the original to the Records Division.

### **B. Annual Use of Force Reporting**

Each year, but not later than February 1<sup>st</sup> of the following year, the Hamden Police Department shall ensure that a copy of each completed *State of Connecticut – Police Officer and Standards Training Council - Use of Force Report* and any other required documents are submitted in electronic form to the Criminal Justice Policy and Planning Division of the Office of Policy and Management. Prior to the submission of these reports, the department shall redact any information that may identify a minor, victim, or witness.

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### **11. Training**

The Police Officer Standards and Training Council (POSTC) will oversee development and implementation of a single, standardized training curriculum to include lesson plans and presentation material regarding all aspects of this policy for the purposes of in-service or review training. To qualify for POSTC credit, Use of Force training must be delivered by a POSTC certified Use of Force instructor. The Department may elect to offer additional training in any area of this policy, but such training may not supplant any portion of the POSTC approved training module. Any additional training offered must be delivered by a POSTC certified Use of Force instructor using a POSTC approved lesson plan.

All Departments shall ensure that every peace officer bound by this policy completes all required POSTC Use of Force training prior to December 31, 2022 unless granted an extension by the DESPP/POSTC Academy Administrator. Thereafter, the Department shall ensure that every officer completes the POSTC recertification training module no less than once annually.

Officers shall be trained in accordance with Department guidelines, and shall obey all safety rules when handling any firearm or any other weapon. No person other than Hamden Police Department Officers shall be permitted access to any department-owned firearm, with the exception of: police officers from other jurisdictions in the official performance of their duty; for repair or maintenance as approved by the department; or other circumstances with the express permission of the Chief of Police.

Officers will secure and store firearms, both on and off duty, in such a way as to ensure that no unauthorized person will have access to or gain control over the firearm. All Department firearms kept at home must be secured in a safe place inaccessible to family members, especially children.

Whenever an officer is in the Department and removes his/her handgun or other weapon, the item must not be left in the open and must be secured so that it is not readily accessible to civilians, suspects, victims, or witnesses.

All officers qualified in the use of impact weapons, OC spray, electronic control weapons, and control techniques shall re-qualify on such weapons or techniques under the instruction of a certified instructor in accordance with CT POST, law and if applicable the manufacturer.

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All officers who fail to demonstrate the required proficiency with Department issued weapons shall receive remedial training. Remedial instruction for Department issued firearms shall follow the Department's Firearms Policy. An officer failing to demonstrate proficiency with a weapon shall not return to duty with that weapon until such time as proficiency is demonstrated and documented. Only officers demonstrating proficiency in the use of Department authorized weapons shall be approved to carry such weapons.

### **12. Related Policies**

Other policies related to department uses of force include but may not be limited to:

- A.** Oath of Office
- B.** Ethics
- C.** Firearms
- D.** Controlled Electrical Weapon
- E.** Less-Lethal Weapons/Munitions
- F.** Pursuit
- G.** Crowd Control
- H.** Body Camera/In-Car Camera
- I.** Canine Unit